

# Kappa Distributions: Turbulent Equilibria

## 1. Foundation: Plasma Kinetic Theory

Peter H. YOON<sup>1,2,3</sup>

<sup>1</sup>U. Maryland (UMD), College Park, USA

<sup>2</sup>Korea Astron. & Space-Sci. Inst. (KASI) KOREA

<sup>3</sup>Kyung Hee University (KHU) KOREA

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# Kappa Distribution as Tsallis Equilibrium



# Kappa Distribution as Tsallis Equilibrium

Boltzmann-Gibbs definition of entropy

$$S_{BG} = -k \sum_{i=1}^W p_i \ln p_i,$$

where  $k = k_B = 1.3806503 \times 10^{-23} \text{m}^2 \text{kg s}^{-2} \text{K}^{-1}$  for thermostatistics and  $k = 1$  for information system (Shannon entropy).  $p_i$  is the probability of the system being in a particular state called the  $i$ -th state.  $W$  is the total number of possible states.



# Kappa Distribution as Tsallis Equilibrium

Since  $p_i$  is the probability, their sum must be unity,

$$\sum_{i=1}^W p_i = 1.$$

If the system has equal probability of being in any state,  $i$ ,

$$p_i = \frac{1}{W},$$

and the entropy becomes

$$S_{BG} = -k \sum_{i=1}^W \frac{1}{W} \ln \frac{1}{W} = k \ln W.$$



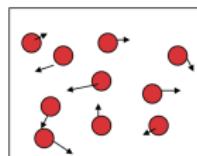
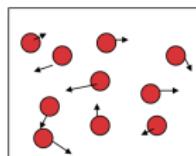
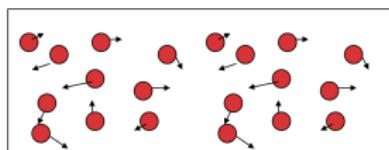
# Kappa Distribution as Tsallis Equilibrium



# Kappa Distribution as Tsallis Equilibrium

Boltzmann entropy is extensive: Entropy of total system is sum of entropies of subsystems.

$$S_{BG}(A + B) = k \ln(W_A W_B) = k \ln W_A + k \ln W_B = S_{BG}(A) + S_{BG}(B).$$



The extensivity of the entropy is applicable for ideal gas and systems interacting with short-range force, as Boltzmann himself noted.



# Kappa Distribution as Tsallis Equilibrium

"The entropy of a system composed of several parts is very often equal to the sum of the entropies of all the parts" "Notice that these conditions are not quite obvious and that in some cases they may not be fulfilled" [Enrico Fermi. *Thermodynamics* (Dover, New York, 1936), p. 53]

For *complex* systems or systems interacting with long-range force, such as gravitational bodies or plasmas, the *non-extensive* entropic principle may govern the statistical properties.

$$S(A + B) \neq S(A) + S(B).$$

The question is, "What is the appropriate mathematical form of non-extensive entropy?"



# Kappa Distribution as Tsallis Equilibrium

$$S(A + B) \neq S(A) + S(B).$$



A possible answer was put forth by Tsallis [1988], but it was actually suggested in the information theory first. Tsallis simply rediscovered it independently,

$$S_q = k \frac{1 - \sum_{i=1}^W p_i^q}{q - 1}, \quad (q \rightarrow 1 \text{ equivalent to } S_{BG}).$$



# Kappa Distribution as Tsallis Equilibrium

Tsallis entropy has the non-additive, or *non-extensive* property,

$$\frac{S_q(A+B)}{k} = \frac{S_q(A)}{k} + \frac{S_q(B)}{k} + (1-q) \frac{S_q(A)}{k} \frac{S_q(B)}{k}.$$

$q$  is a parameter that determines the deviation from BG statistics,  $q = 1$  being the BG limit.

In the literature  $q$  is usually treated phenomenologically.

For electrons in unmagnetized plasma interacting with long-range Coulomb force, however,  $q$  can be calculated rigorously as

$$q = \frac{5}{9}$$



# Kappa Distribution as Tsallis Equilibrium

For continuous system such as plasmas the Boltzmann-Gibbs entropy is

$$S_{BG} = -k_B \beta \int_V d\mathbf{x} \int d\mathbf{v} \frac{f(\mathbf{x}, \mathbf{v})}{\beta} \ln \frac{f(\mathbf{x}, \mathbf{v})}{\beta}.$$

Equilibrium distribution  $f$  can be found by considering the Helmholtz free energy,

$$F = U - TS,$$

$$U = \int_V d\mathbf{x} \int d\mathbf{v} \frac{mv^2}{2} f(\mathbf{x}, \mathbf{v}).$$



# Kappa Distribution as Tsallis Equilibrium

Taking the functional derivative and setting equal to zero,

$$\frac{\delta F}{\delta f} = 0,$$

one obtains the Maxwell-Boltzmann-Gauss distribution

$$f_M(\mathbf{v}) = \frac{\beta}{e} \exp\left(-\frac{mv^2}{2k_B T}\right).$$



# Kappa Distribution as Tsallis Equilibrium

The continuous version of the Tsallis entropy is

$$S_q = -\frac{k_B \beta}{1-q} \int_V d\mathbf{x} \int d\mathbf{v} \left[ \frac{f(\mathbf{x}, \mathbf{v})}{\beta} - \left( \frac{f(\mathbf{x}, \mathbf{v})}{\beta} \right)^q \right].$$

Extremization of the Helmholtz free energy leads to

$$f_q(\mathbf{v}) = \frac{\beta q^{1/(1-q)}}{[1 + (1-q) mv^2 / 2k_B T]^{1/(1-q)}}.$$



# Kappa Distribution as Tsallis Equilibrium

Upon defining

$$\kappa \equiv \frac{1}{1-q}, \quad q = \frac{\kappa-1}{\kappa},$$

we obtain

$$f_\kappa(\mathbf{v}) \propto \frac{1}{[1 + mv^2/2\kappa k_B T]^\kappa}.$$



# Kappa Distribution as Tsallis Equilibrium

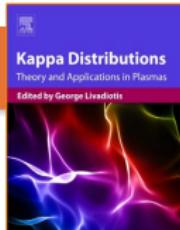
A slightly different form can be obtained on the basis of “escort entropy” -  
See Livadiotis' book (2017) - the Vasyliunas-Olbert (kappa) distribution,

$$f_{\kappa}(\mathbf{v}) \propto \frac{1}{[1 + mv^2/2\kappa k_B T]^{\kappa+1}}.$$

For plasma electrons, I will show that the kappa value is

$$\kappa = \frac{9}{4}$$





## Kappa Distributions

Theory and Applications in Plasmas

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### AUDIENCE

*Kappa Distributions* is ideal for space, plasma, and statistical physicists; geophysicists, especially of the upper atmosphere, Earth and planetary scientists, and astrophysicists

## Kappa Distributions

*Theory and Applications in Plasmas*

George Livadiotis, Senior Research Scientist, Southwest Research Institute, USA



Presents the theoretical developments of kappa distributions, their applications in geophysical, space, and astrophysical plasmas, and our understanding of statistical mechanics in plasmas and other particle systems residing in stationary states out of thermal equilibrium

### KEY FEATURES

- Answers important questions, such as how plasma waves are affected by kappa distributions and how solar wind, magnetospheres, and other geophysical, space, and astrophysical plasmas can be modeled using kappa distributions
- Presents the features of kappa distributions in the context of plasmas, including how kappa indices, temperatures, and densities vary among the species populations in different plasmas
- Provides readers with the information they need to decide which specific formula of kappa distribution should be used for a certain occasion and system (toolbox)

*Kappa Distributions: Theory and Applications in Plasmas* presents the theoretical developments of kappa distributions, their applications in plasmas, and how they affect the underpinnings of our understanding of space, plasma, and statistical physics, astrophysics, and thermodynamics. Separated into three major parts, the book covers theoretical methods, analytical methods in plasmas, and applications in space plasmas. The first part of the book focuses on basic aspects of the statistical theory of kappa distributions, beginning with their connection to the solid backgrounds of non-extensive statistical mechanics. The book then moves on to plasma physics, and is devoted to analytical methods related to kappa distributions on various basic plasma topics, spanning linear/nonlinear plasma waves, solitons, shockwaves, and dusty plasmas. The final part of the book deals with applications in space plasmas, focusing on applications of theoretical and analytical developments in space plasmas from the heliosphere and beyond, in other astrophysical plasmas.

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# Kappa Distribution as Tsallis Equilibrium

## Gaussian vs Kappa Distribution

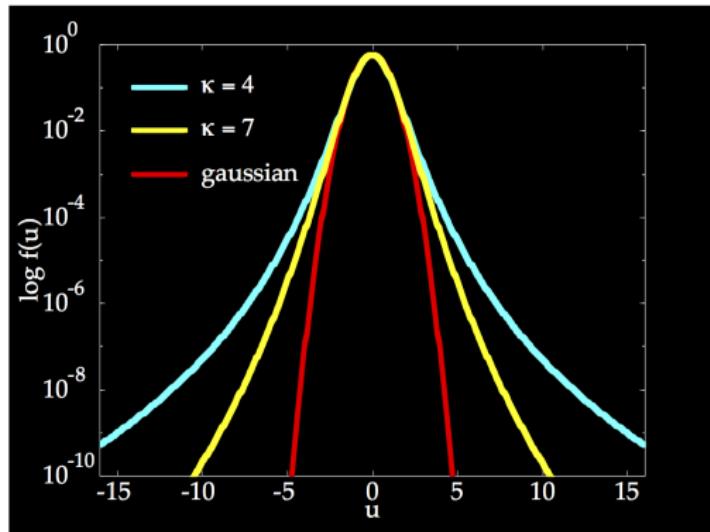
$$f_{gaussian}(u) = \frac{1}{\pi^{1/2}} \exp(-u^2)$$

$$f_{kappa}(u) = \frac{1}{\pi^{1/2}} \frac{\Gamma(\kappa + 1)}{\kappa^{1/2} \Gamma(\kappa + 1/2)} \frac{1}{(1 + u^2 / \kappa)^{\kappa + 1}}$$



# Kappa Distribution as Tsallis Equilibrium

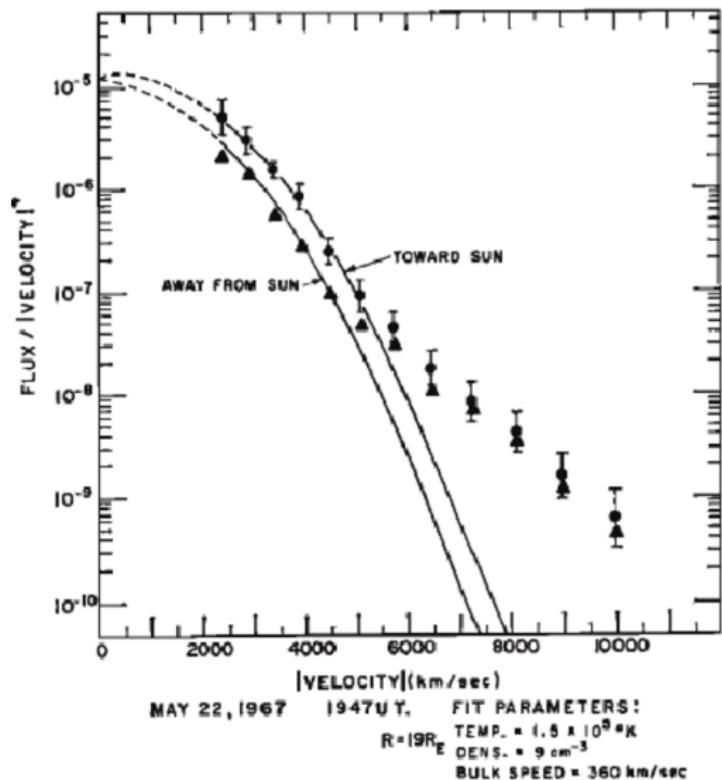
## Kappa Model



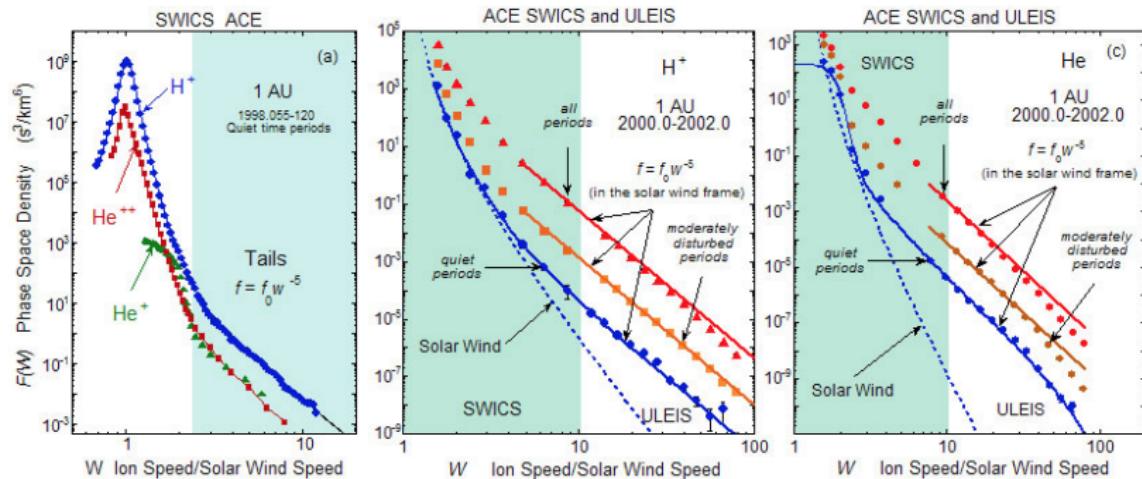
# Kappa Distribution as Tsallis Equilibrium

Fig-1-Empirical-distribution-functions-of-the-solar-wind-electrons-measured-at-1-AU.png 850x921 pixels

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# Kappa Distribution as Tsallis Equilibrium



# Fundamentals of Plasma

# Kinetic Theory



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# Fundamentals of Plasma Kinetic Theory

1D, unmagnetized, zero net electric field, electrostatic Vlasov-Poisson equation

$$\left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} + \frac{e_a E}{m_a} \frac{\partial}{\partial v} \right) f_a = 0,$$
$$\frac{\partial E}{\partial x} = 4\pi \hat{n} \sum_a e_a \int dv f_a.$$

Separation into Average and Fluctuation

$$f_a(x, v, t) = F_a(v, t) + \delta f_a(x, v, t),$$
$$E(x, t) = \delta E(x, t).$$



# Fundamentals of Plasma Kinetic Theory

Rewrite the equations

$$\left( \frac{\partial}{\partial t} + \frac{e_a}{m_a} \delta E \frac{\partial}{\partial v} \right) F_a + \left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} + \frac{e_a}{m_a} \delta E \frac{\partial}{\partial v} \right) \delta f_a = 0,$$
$$\frac{\partial}{\partial x} \delta E = 4\pi \hat{n} \sum_a e_a \int dv \delta f_a.$$



# Fundamentals of Plasma Kinetic Theory

Random phase approximation

$$\langle \delta f_a \rangle = 0, \quad \langle \delta E \rangle = 0.$$

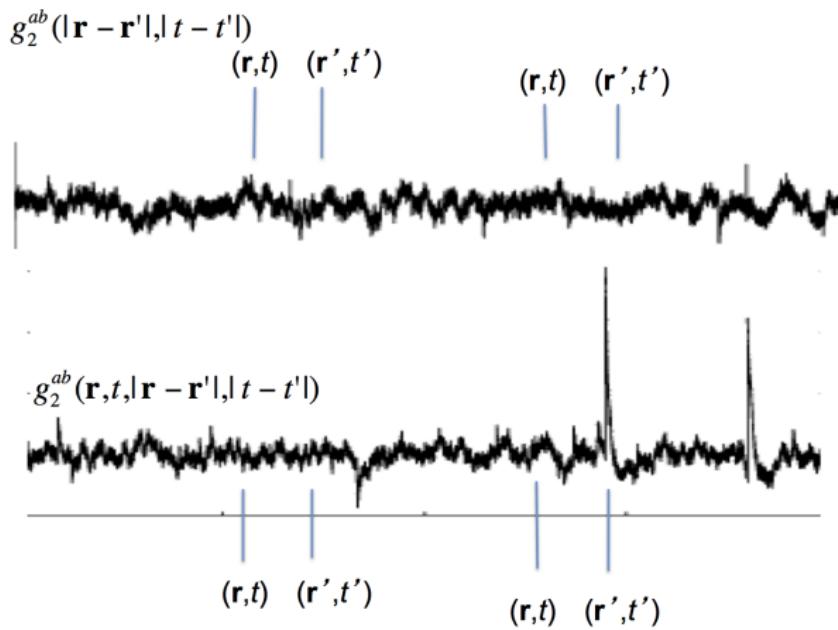
This also implies no coherent nonlinearity.

Stationary and homogeneous turbulence,

$$\langle \delta f_a(x, v, t) \delta f_b(x', v', t') \rangle = g(|x - x'|, |t - t'|, v, v').$$



# Fundamentals of Plasma Kinetic Theory



# Fundamentals of Plasma Kinetic Theory

$$\left( \frac{\partial}{\partial t} + \frac{e_a}{m_a} \delta E \frac{\partial}{\partial v} \right) F_a + \left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} + \frac{e_a}{m_a} \delta E \frac{\partial}{\partial v} \right) \delta f_a = 0,$$

Upon averaging we have

$$\frac{\partial F_a}{\partial t} = - \frac{e_a}{m_a} \frac{\partial}{\partial v} \langle \delta f_a \delta E \rangle.$$

This is the *formal* particle kinetic equation.



# Fundamentals of Plasma Kinetic Theory

Insert the *formal* particle kinetic equation to the original equation

$$\left( \frac{\partial}{\partial t} + v \frac{\partial}{\partial x} \right) \delta f_a = - \frac{e_a}{m_a} \delta E \frac{\partial F_a}{\partial v} - \frac{e_a}{m_a} \frac{\partial}{\partial v} (\delta f_a \delta E - \langle \delta f_a \delta E \rangle).$$



# Fundamentals of Plasma Kinetic Theory

Fourier-Laplace transformation:

$$\begin{aligned} \delta f_a(x, v, t) &= \int dk \int_L d\omega \delta f_{k\omega}^a(v, t) e^{ikx - i\omega t}, \\ \delta f_{k\omega}^a(v, t) &= \frac{1}{(2\pi)^2} \int dx \int_0^\infty dt \delta f_a(x, v, t) e^{-ikx + i\omega t}, \\ \delta E(x, t) &= \int dk \int_L d\omega \delta E_{k\omega}(t) e^{ikx - i\omega t}, \\ \delta E_{k\omega}(t) &= \frac{1}{(2\pi)^2} \int dx \int_0^\infty dt \delta E(x, t) e^{-ikx + i\omega t}, \end{aligned}$$

where  $L = (-\infty + i\sigma, +\infty + i\sigma)$  ( $\sigma > 0$  and  $\sigma \rightarrow 0$ ).



# Fundamentals of Plasma Kinetic Theory

$$\delta E_{k\omega}(t) = -i \sum_a \frac{4\pi \hat{n} e_a}{k} \int dv \delta f_{k\omega}^a(v, t),$$

$$\frac{\partial F_a(v, t)}{\partial t} = -\frac{e_a}{m_a} \int dk \int d\omega \frac{\partial}{\partial v} < \delta E_{-k, -\omega}(t) \delta f_{k\omega}^a(v, t) >,$$

$$\begin{aligned} \left( \omega - kv + i \frac{\partial}{\partial t} \right) \delta f_{k\omega}^a(v, t) &= -i \frac{e_a}{m_a} \delta E_{k\omega}(t) \frac{\partial F_a(v, t)}{\partial v} \\ &\quad - i \frac{e_a}{m_a} \int dk' \int d\omega' \frac{\partial}{\partial v} [\delta E_{k'\omega'}(t) \delta f_{k-k', \omega-\omega'}^a(v, t) \\ &\quad - < \delta E_{k'\omega'}(t) \delta f_{k-k', \omega-\omega'}^a(v, t) >]. \end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

New definition for  $\omega$  (short-cut trick),

$$\omega \rightarrow \omega + i \partial / \partial t.$$

Short-hand notations:

$$\begin{aligned} K &= (k, \omega), & E_K &= \delta E_{k\omega}, & f_K &= \delta f_{k\omega}^a, \\ F &= F_a, & \int dK &= \int dk \int d\omega, \\ g_K &= -i \frac{e_a}{m_a} \frac{1}{\omega - kv + i0} \frac{\partial}{\partial v}. \end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

Equation for the perturbed particle distribution:

$$f_K = g_K F E_K + \int dK' g_K (E_{K'} f_{K-K'} - \langle E_{K'} f_{K-K'} \rangle).$$

Iteration:

$$f_K = f_K^{(1)} + f_K^{(2)} + \dots, \quad (f_K^{(n)} \propto E_K^n).$$

$$f_K^{(1)} = g_K F E_K,$$

$$f_K^{(2)} = \int dK' g_K (E_{K'} f_{K-K'}^{(1)} - \langle E_{K'} f_{K-K'}^{(1)} \rangle)$$

$$= \int dK' g_K g_{K-K'} F (E_{K'} E_{K-K'} - \langle E_{K'} E_{K-K'} \rangle).$$



# Fundamentals of Plasma Kinetic Theory

Simplified notations:

$$\sum_{1+2=K} = \int dK_1 \int dK_2 \delta(K_1 + K_2 - K),$$
$$E_1 = E_{K_1}, \quad E_2 = E_{K_2}, \quad g_1 = g_{K_1}, \quad g_2 = g_{K_2}.$$

Iterative solution for  $f_K$

$$f_K = g_K F E_K + \sum_{1+2=K} g_K g_2 F (E_1 E_2 - \langle E_1 E_2 \rangle)$$



# Fundamentals of Plasma Kinetic Theory

Symmetrized version

$$f_K = g_K F E_K + \sum_{1+2=K} \frac{1}{2} g_{1+2} (g_1 + g_2) F (E_1 E_2 - \langle E_1 E_2 \rangle).$$

Insert  $f_K$  to Poisson equation,

$$E_K = -i \sum_a \frac{4\pi \hat{n} e_a}{k} \int dv f_K.$$



# Fundamentals of Plasma Kinetic Theory

Linear and nonlinear susceptibility response functions,

$$\epsilon(K) = 1 + \sum_a i \frac{4\pi e_a \hat{n}}{k} \int dv g_K F,$$

$$\chi_a^{(2)}(1|2) = \frac{i}{2} \frac{4\pi e_a \hat{n}}{k_1 + k_2} \int dv g_{1+2} (g_1 + g_2) F.$$



# Fundamentals of Plasma Kinetic Theory

$$\epsilon(K) = 1 + \sum_a \frac{\omega_{pa}^2}{k} \int dv \frac{\partial F_a / \partial v}{\omega - kv + i0},$$

$$\begin{aligned}\chi_a^{(2)}(1|2) &= -\frac{i}{2} \frac{e_a}{m_a} \frac{\omega_{pa}^2}{k_1 + k_2} \int dv \frac{1}{\omega_1 + \omega_2 - (k_1 + k_2)v + i0} \\ &\quad \times \frac{\partial}{\partial v} \left[ \left( \frac{1}{\omega_1 - k_1 v + i0} + \frac{1}{\omega_2 - k_2 v + i0} \right) \frac{\partial F_a}{\partial v} \right].\end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

$$0 = \epsilon(K) E_K + \sum_{1+2=K} \chi^{(2)}(1|2) (E_1 E_2 - \langle E_1 E_2 \rangle).$$

Multiply  $E_{K'}$  and take ensemble average

$$0 = \epsilon(K) \langle E_K E_{K'} \rangle + \sum_{1+2=K} \chi^{(2)}(1|2) \langle E_1 E_2 E_{K'} \rangle.$$



# Fundamentals of Plasma Kinetic Theory

Homogeneous and stationary turbulence,

$$\langle E(x, t) E(x', t') \rangle = \langle E^2 \rangle_{x-x', t-t'}.$$

The spectral representation

$$\langle E_{k\omega} E_{k'\omega'} \rangle = \delta(k + k') \delta(\omega + \omega') \langle E^2 \rangle_{k\omega}.$$



# Fundamentals of Plasma Kinetic Theory

$$0 = \epsilon(K) \langle E^2 \rangle_K + \sum_{1+2=K} \chi^{(2)}(1|2) \langle E_1 E_2 E_{-K} \rangle .$$

The equation is not closed - to obtain  $\langle E^2 \rangle$  one needs  $\langle E^3 \rangle$ , to obtain  $\langle E^3 \rangle$  one needs  $\langle E^4 \rangle, \dots$



## Three-Body Cumulant and Closure of Hierarchy

If  $E_K$  is linear eigenmode,

$$\epsilon(K) E_K = 0.$$

then by definition  $\langle E_1 E_2 E_{-K} \rangle = 0$ .

But for nonlinear system we write  $E_K = E_K^{(0)} + E_K^{(1)}$ , where  $\epsilon(K) E_K^{(0)} = 0$ .  
Then

$$E_K^{(1)} \approx -\frac{1}{\epsilon(K)} \int dK' \chi^{(2)}(K'|K-K') \left( E_{K'}^{(0)} E_{K-K'}^{(0)} - \langle E_{K'}^{(0)} E_{K-K'}^{(0)} \rangle \right).$$



# Fundamentals of Plasma Kinetic Theory

Three-body correlation,

$$\begin{aligned} & \langle E_{K'} E_{K-K'} E_{-K} \rangle \\ & \approx \underbrace{\langle E_{K'}^{(0)} E_{K-K'}^{(0)} E_{-K}^{(0)} \rangle}_{\downarrow 0} + \langle E_{K'}^{(1)} E_{K-K'}^{(0)} E_{-K}^{(0)} \rangle \\ & \quad + \langle E_{K'}^{(0)} E_{K-K'}^{(1)} E_{-K}^{(0)} \rangle + \langle E_{K'}^{(0)} E_{K-K'}^{(0)} E_{-K}^{(1)} \rangle. \end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

$$\begin{aligned} < E_{K'} E_{K-K'} E_{-K} > &= -\frac{1}{\epsilon(K')} \int dK'' \chi^{(2)}(K''|K' - K'') \\ &\times (\langle E_{K''} E_{K'-K''} E_{K-K'} E_{-K} \rangle - \langle E_{K''} E_{K'-K''} \rangle \langle E_{K-K'} E_{-K} \rangle) \\ &- \frac{1}{\epsilon(K - K')} \int dK'' \chi^{(2)}(K''|K - K' - K'') \\ &\times (\langle E_{K''} E_{K-K'-K''} E_{K'-K''} E_{-K} \rangle - \langle E_{K''} E_{K-K'-K''} \rangle \langle E_{K'-K''} E_{-K} \rangle) \\ &- \frac{1}{\epsilon(-K)} \int dK'' \chi^{(2)}(-K''|-K + K'') \\ &\times (\langle E_{K'} E_{K-K'} E_{-K''} E_{-K+K''} \rangle - \langle E_{K'} E_{K-K'} \rangle \langle E_{-K''} E_{-K+K''} \rangle). \end{aligned}$$

where we dropped the superscript (0). To obtain  $< E^3 >$  one needs  $E^4 >$ .



# Fundamentals of Plasma Kinetic Theory

The (quasi-normal) closure: for homogeneous and stationary turbulence,

$$\begin{aligned} & \langle E_K E_{K'} E_{K''} E_{K'''} \rangle = \delta(K + K' + K'' + K''') \\ & \times [\delta(K + K') \langle E^2 \rangle_K \langle E^2 \rangle_{K''} \\ & + \delta(K + K'') \langle E^2 \rangle_K \langle E^2 \rangle_{K'} \\ & + \delta(K' + K'') \langle E^2 \rangle_K \langle E^2 \rangle_{K'} \\ & + \cancel{\langle E^4 \rangle_{K, K+K'; K+K'+K''}}] . \end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

Useful symmetry relations:

$$\chi^{(2)}(-1| -2) = \chi^{(2)*}(1|2),$$

$$\chi^{(2)}(1|2) = \chi^{(2)}(2|1),$$

$$\chi^{(2)}(1|2) = \chi^{(2)}(2|1) = -\chi^{(2)}(1+2| -2).$$



# Fundamentals of Plasma Kinetic Theory

Three-body cumulants finally written as products of two-body cumulants  
(wave intensities)

$$\begin{aligned} < E_{K'} E_{K-K'} E_{-K} > &= \frac{2\chi^{(2)}(K'|K-K')}{\epsilon(K')} < E^2 >_{K-K'} < E^2 >_K \\ &+ \frac{2\chi^{(2)}(K'|K-K')}{\epsilon(K-K')} < E^2 >_K < E^2 >_K \\ &- \frac{2\chi^{(2)*}(K'|K-K')}{\epsilon^*(K)} < E^2 >_K < E^2 >_{K-K'} . \end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

## Spectral balance equation

$$0 = \epsilon(K) \langle E^2 \rangle_K + 2 \int dK' \left( \frac{\{\chi^{(2)}(K'|K-K')\}^2}{\epsilon(K')} \langle E^2 \rangle_{K-K'} \langle E^2 \rangle_K + \frac{\{\chi^{(2)}(K'|K-K')\}^2}{\epsilon(K-K')} \langle E^2 \rangle_{K'} \langle E^2 \rangle_K - \frac{|\chi^{(2)}(K'|K-K')|^2}{\epsilon^*(K)} \langle E^2 \rangle_{K'} \langle E^2 \rangle_{K-K'} \right).$$



# Fundamentals of Plasma Kinetic Theory

Reintroduce the slow time dependence,

$$(\omega - \mathbf{k} \cdot \mathbf{v} + i\partial/\partial t)^{-1}.$$

This leads to

$$\begin{aligned}\epsilon(k, \omega) < E^2 >_{k\omega} &\rightarrow \epsilon\left(k, \omega + i\frac{\partial}{\partial t}\right) < E^2 >_{k\omega} \\ &\rightarrow \left( \epsilon(k, \omega) + \frac{i}{2} \frac{\partial \epsilon(k, \omega)}{\partial \omega} \frac{\partial}{\partial t} \right) < E^2 >_{k\omega}.\end{aligned}$$



# Fundamentals of Plasma Kinetic Theory

$$0 = \frac{i}{2} \frac{\partial \epsilon(k, \omega)}{\partial \omega} \frac{\partial}{\partial t} \langle E^2 \rangle_{k\omega} + \epsilon(k, \omega) \langle E^2 \rangle_{k\omega} \\ + 2 \int dk' \int d\omega' \left( \frac{\{\chi^{(2)}(k', \omega' | k - k', \omega - \omega')\}^2}{\epsilon(k', \omega')} \right. \\ \times \langle E^2 \rangle_{k-k', \omega-\omega'} \langle E^2 \rangle_{k\omega} \\ + \frac{\{\chi^{(2)}(k', \omega' | k - k', \omega - \omega')\}^2}{\epsilon(k - k', \omega - \omega')} \langle E^2 \rangle_{k'\omega'} \langle E^2 \rangle_{k\omega} \\ \left. - \frac{|\chi^{(2)}(k', \omega' | k - k', \omega - \omega')|^2}{\epsilon^*(k, \omega)} \langle E^2 \rangle_{k'\omega'} \langle E^2 \rangle_{k-k', \omega-\omega'} \right).$$



# Fundamentals of Plasma Kinetic Theory

Particle kinetic equation,

$$\frac{\partial F_a}{\partial t} = -\frac{e_a}{m_a} \int dK \frac{\partial}{\partial v} \langle E_{-K} f_K^a \rangle,$$

where

$$f_K = f_K^{(1)} + \cancel{f_K^{(2)}} + \dots$$

$$\frac{\partial F_a}{\partial t} = \text{Re } i \frac{e_a^2}{m_a^2} \frac{\partial}{\partial v} \int dk \int d\omega \frac{\langle E^2 \rangle_{k\omega}}{\omega - kv + i0} \frac{\partial F_a}{\partial v}.$$



# Summary

## Particle Kinetic Equation

$$\frac{\partial F_a}{\partial t} = \text{Re } i \frac{e_a^2}{m_a^2} \frac{\partial}{\partial v} \int dk \int d\omega \frac{< E^2 >_{k\omega}}{\omega - kv + i0} \frac{\partial F_a}{\partial v}.$$

## Dispersion Relation

$$\text{Re } \epsilon(k, \omega) < E^2 >_{k\omega} = 0.$$



# Summary

## Wave Kinetic Equation

$$\begin{aligned} \frac{\partial}{\partial t} \langle E^2 \rangle_{k\omega} &= -\frac{2 \operatorname{Im} \epsilon(k, \omega)}{\partial \operatorname{Re} \epsilon(k, \omega) / \partial \omega} \langle E^2 \rangle_{k\omega} \\ &- \frac{4}{\partial \operatorname{Re} \epsilon(k, \omega) / \partial \omega} \operatorname{Im} \int dk' \int d\omega' \\ &\times \left[ \{\chi^{(2)}(k', \omega' | k - k', \omega - \omega')\}^2 \left( \frac{\langle E^2 \rangle_{k-k', \omega-\omega'}}{\epsilon(k', \omega')} \right. \right. \\ &+ \frac{\langle E^2 \rangle_{k'\omega'}}{\epsilon(k - k', \omega - \omega')} \Big) \langle E^2 \rangle_{k\omega} \\ &\left. \left. - \frac{|\chi^{(2)}(k', \omega' | k - k', \omega - \omega')|^2}{\epsilon^*(k, \omega)} \langle E^2 \rangle_{k'\omega'} \langle E^2 \rangle_{k-k', \omega-\omega'} \right] . \right. \end{aligned}$$



# Summary

In the next lecture, I will discuss the solution of the plasma weak turbulence theory and demonstrate that the time-asymptotic state, or the “turbulent equilibrium” for the electrons interacting with the Langmuir turbulence in a dynamically steady-state fashion, is none other than the kappa distribution with the kappa index  $\kappa = 9/4$ .

