



DIFFERENTIAL ASTROMETRY: PRINCIPLES AND TECHNIQUES



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<u>WHEN</u>

- I AM DEALING WITH RELATIVELY SMALL FIELD OF VIEWS
- I WANT TO DETERMINE THE COORDINATES OF SOME OBJECTS WITH RESPECT TO OTHERS WHOSE POSITION IS KNOWN IN A GLOBAL REFERENCE SYSTEM
- I AM INTERESTED IN THE RELATIVE MOTION OF THE
 OBJECTS OF STUDY

• POINT SOURCES

<u>WHERE</u>

• OPTICAL/NEAR-INFRARED IMAGING

- DENSIFICATION OF THE REFERENCE FRAME
- RELATIVE PARALLAXES/PROPER MOTIONS
- KINEMATICS OF STELLAR SYSTEMS
- EPHEMERIDES OF SOLAR SYSTEM BODIES
- BINARY ORBITS
- ASTROMETRIC MICROLENSING
- RELATIVISTIC LIGHT DEFLECTION

HOW

- PRINCIPLES OF DIFFERENTIAL ASTROMETRY
 - Stellar motions ('true' displacements)
 - Apparent displacements (observer-induced)
 - Instrumental/environmental effects
- PRINCIPLES OF DATA REDUCTION
 - Observation equation
 - Parameter estimation

Proper Motion

A star's proper motion μ is its yearly angular displacement.

Unless the center of mass of the system represented by the star does not concide with the star (e.g., in a multiple system), The star's **space velocity** is assumed to be **constant**.

A star with **tangential velocity** V_T (*Km/s*) at a distance of ρ *parsecs* has a proper motion $\mu=V_T/(4.74047 \rho)$ *arcseconds/year;* however, the star's *radial motion* with respect to the observer induces a *variation* in the proper motion called *secular* (*or perspective*) acceleration

Let V be the star space velocity and θ its angle with the direction of motion, then the modulus of the proper motion is $\mu = V \sin \theta / \rho \rightarrow d\mu / dt = -V / \rho^2 \sin \theta d\rho / dt + V / \rho \cos \theta d\theta / dt$. Noting that $d\theta / dt = -\mu$ and $V \cos \theta = V_r = d\rho / dt$, one gets

$$\Rightarrow \quad \frac{d\mu}{dt} = -\frac{\mu}{\rho} \frac{d\rho}{dt} - \frac{\mu}{\rho} V_r = -\frac{2\mu}{\rho} V_r$$

If μ is given in arcsec/year, the radial velocity in Km/s and the distance in parsecs, the **perspective acceleration amounts to**

 $d\mu/dt = -0.205 \ 10^{-5} \ \mu V_r/\rho$ arcsec/year²

significant only for nearby stars with high radial velocities.



The **apparent displacement** produced by the motion of the observer with respect to a fixed reference system is called *parallactic displacement*.

Annual parallax: even for the nearest stars it can be **modelled** to better than a **microarcsecond** by first-order formulas in the small quantity R/ρ (< 10⁻⁶ rad), where R is the **distance of the observer** from the solar system barycenter (*geocentric* or *horizontal parallax* is only relevant for bodies within the solar system).

For a star in the **p direction**, the vectorial displacement due to annual parallax is given by

$$d\vec{p} = \vec{p}' - \vec{p} = \frac{1}{\rho}\vec{p} \times (\vec{p} \times \vec{R})$$

If the barycentric **spherical coordinates** of the star are (α, δ) , the **corrections** to the barycentric coordinates derived from the above formula reads

$$\Delta \alpha \cos \alpha = \frac{1}{\rho} \left(R_x \sin \alpha - R_y \cos \alpha \right) \equiv \varpi P$$
$$\Delta \delta = \frac{1}{\rho} \left(\left(R_x \cos \alpha + R_y \sin \alpha \right) \sin \delta - R_z \cos \delta \right) \equiv \varpi Q$$

where P, Q are called *parallax factors* and $\varpi = 1/\rho$ is the star's **parallax**. [when **R** is given in Astronomical Units and ρ in parsecs, the parallax ϖ is in arcseconds]

Aberration

Apparent effect induced by the **velocity of the observer** in the fixed frame. Depending on the relative motion of the observer and object of study we can distinguish different effects:

first-order newtonian formula
$$\rightarrow$$
 $d\vec{p} = -\frac{1}{c}\vec{p}\times(\vec{p}\times\vec{V})$

• Transforming the vector components into spherical coordaintes, keeping only **first-order** terms in V/c, one obtains the aberrational correction in equatorial coordinates, accurate at the **milliarcsecond level**,



$$\Delta \alpha \cos \alpha = -\frac{1}{c} (V_x \sin \alpha + V_y \cos \alpha)$$
$$\Delta \delta = -\frac{1}{c} ((V_x \cos \alpha + V_y \sin \alpha) \sin \delta - V_z \cos \delta)$$

- Earth Diurnal aberration $V_d \cong 10^{-6} c \rightarrow 0.2''$
- Earth Annual aberration $V_a \cong 10^{-4} c \rightarrow 20''$
- Galactic Secular Aberration $V_c \cong 10^{-3} c \rightarrow 150''$
- present in Gaia's catalog (BCRS origin)

the curvature of the galactocentric orbit introduces a variability in the Sun's velocity of the order of $V_c \omega t$, where ω is the Sun's angular velocity in *radians* (\cong 2.4 10⁻⁸); this gives rise to an *apparent proper motion* $\mu=\Delta V_c/c \cong 4$ muas/year, which would appear as a residual *proper motion* vectorial field in the direction of the galactic center.



In Special Relativity (SR), Lorentz transformations for velocities apply, while in the GR framework one must take into account the effect of gravitational potential in the expression of the observer's velocity.
 Let p be the geometric direction to the star, V the vectorial velocity of the observer, and p' the aberrated direction, the exact special relativistic aberration equation is given by

$$\vec{p}' = \frac{\gamma^{-1}\vec{p}}{1 + \vec{p}\frac{\vec{V}}{c}} + (1 + \gamma^{-1})\frac{\vec{V}}{c}$$

where $\gamma = (1 - V^2/c^2)^{-1/2}$, and *c* the light velocity in vacuum, from which the **aberration angle** developed to **third order** in V/c reads

$$\sin \Delta \theta \sim \frac{V}{c} \sin \theta - \frac{1}{4} \frac{V^2}{c^2} \sin 2\theta + \frac{1}{4} \frac{V^3}{c^3} \sin 2\theta \cos \theta$$

- This formula agrees with the **newtonian aberration only to first order** in V/c, which is at the **mas precision**.
- The **GR contribution** is proportional to w/c^3 , where w is the gravitational potential at the observer $\cong GM_{Sun}/|\mathbf{r_0}-\mathbf{r}_{Sun}|$, and is at the level of **1 microarcsecond**.

<u>Rigorous calculation of the aberration displacement in (α, δ) </u>

The aberration displacement Δθ can be decomposed into corrections to the star's spherical coordinates (α,δ) referred to the inertial frame by applying a rotation of Δθ to the star direction vector **p** around the axis normal to the plane defined by **p** and the direction vector of the observer's velocity **p**₀; such axis is given by **n** = **p** x **p**₀, so the rotation reads

$$\vec{p}' = \vec{p} \cos \Delta \theta + (\vec{n} \times \vec{p}) \sin \Delta \theta$$

Since $\vec{p} = \begin{bmatrix} \cos \alpha \cos \delta \\ \sin \alpha \cos \delta \\ \sin \delta \end{bmatrix}$ and $\vec{p}' = \begin{bmatrix} \cos \alpha' \cos \delta' \\ \sin \alpha' \cos \delta' \\ \sin \delta' \end{bmatrix}$, one can easily derive the corrections $\Delta \alpha = \alpha' - \alpha$, $\Delta \delta = \delta' - \delta$.

<u>However, keep in mind that</u>, since we are dealing with differential astrometry, we only need to consider the differential aberration effect within the field of view of our observations. \rightarrow quantifying the variation of each astrometric effect across the field of view can help setting up properly what is called the 'plate model'

Gravitational light deflection

- In high-accuracy differential astrometry, another effect which changes the apparent direction of the incoming photons is due to relativistic light deflection from the major solar system planets
- The main contribution is given by the spherically symmetric part of the gravitational field of each body, and corresponds to a *deflection angle* of

$$\Delta \theta = (1+\gamma) \frac{GM}{c^2 r} \tan^{-1} \chi$$

where G is the gravitational constant, M the mass of the perturbing body, r the distance observer-perturbing body, χ the separation angle between source and perturbing body, and γ the PPN parameter (=1 in GR)

 The *quadrupole* term is the first non-zero term of the multipole expansion that depends on the asphericity of the mass
 Body
 Monopole
 Quadru

55	>		Monopole		Quadrupole	
Ť			grazing mas	$\chi \\ \delta\theta = 1 \ \mu \text{as}$	$\operatorname{grazing}$	$\chi \\ \delta\theta = 1 \mu \text{as}$
θ	 Due to its smallness, the quadrupole component of 	Sun	17,000	180°	pau	
	of light deflection has been	Mercury	0.083	0.15°		
	so far impossible to detect	Venus	0.49	4.5°		
	by means of astrometric	Mars	0.12	0.4°		
	measurements	Jupiter	16.3	90°	240	$8 \mathrm{R}_J$
		Saturn	5.8	17°	95	$4 \mathrm{R}_S$
		Uranus	2.1	1.2°	8	$2\mathrm{R}_U$
		Neptune	2.5	0.9°	10	$2{ m R}_N$

Observer

Sun

r

Atmopheric Refraction (plays a role only in ground-based observations!)

 Snell's law for different isotropic media: (n+dn)sin(ξ+dξ)=nsinξ In the case of thin plane layers where ξ is equal to the zenith distance z, one obtains by iterative application of Snell's law n₀sinz₀=sinz being n₀ the refractive index at the observer, and z₀ the apparent zenith distance; Naming R=z-z₀ the total refraction angle and neglecting second order terms in R, we have the *first-order* refraction formula

 $R = (n_0 - 1) \tan z_0$

For accurate astrometric work one needs to take into account curvature effects. Using a radially symmetric atmosphere model around the local vertical, O being the Earth center, r₀ the radius at the observer Ω and z₀ the apparent zenith distance of the star, one can derive the following integral equation

$$R = R_0 n_0 \sin z_0 \int_1^{n_0} \frac{dn}{n(r^2 n^2 - r_0^2 n_0^2 \sin^2 z_0)^{1/2}}$$

which is **exact** but assumes the knowledge of n(r). In *practice*, this formula is semplified by introducing *two small parameters:* the refractivity at the ground $\alpha = (n_0-1)$, and η defined by $(nr/n_0r_0)^2 = 1+2\eta$



Non-standard conditions and Cromatic effects

Developing R with respect to α and η and defining β=L/r₀ (where L is the scale height of an exponentially decreasing atmosphere density) after some calculations one obtains the famous Laplace formula

$$R = \alpha(1-\beta)\tan z_0 - \alpha\left(\beta - \frac{\alpha}{2}\right)\tan^3 z_0$$

- The quantities A=α(1-β) and B=α(β-α/2) in the previous formula are functions of the atmospheric conditions as well as
 of the wavelength of the incident light.
- For standard atmospheric conditions (T=15°C, p=1 atm, λ =590 nm) **A**=60''.236 and **B**=0''.0675
- Pulkovo Observatory in 1985 has produced accurate refraction tables for varying atmospheric conditions and as function of zenith distance
- The dependence of the refractive index on the wavelength is a complicated function of λ⁻², but also the finite passband of the filters and the stellar spectral distribution must be taken into account
 - the resulting astrometric effect goes under the name of *Differential Color Refraction* (DCR), which is best determined by observations
 - to alleviate the effect of DCR one should prefer near-infrared observations
- The above models are at best good to a few mas for small zenith distances, and reach the level of 50 mas for z=70°
- With ad-hoc techniques it is possible to reach sub-mas accuracy, but one needs to go into space for micro-arcsecond accuracy



Instrumental Effects

- **Optical aberrations**, and in particular off-axis aberrations, of the telescope *optical train* can generate astrometric effects, as they impact the determination of the image *centroid*
 - coma (y²θ), astigmatism (yθ²), distortion (θ³) [y=aperture radius, θ=field angle); distortion acts only on position, while the first two affects also image quality; all of them change the telescope Point Spread Function (PSF)
- Various kinds of mechanical obscurations of the FOV give rise to vignetting, which also affects the PSF
- CCD observations made in *Time Delay Integration* mode (TDI), as opposed to the most common *stare mode*, can also introduce **distortions** in the PSF of the image, and therefore on its centroid
 - in TDI mode, the CCD is read out at the same rate as the star motion across the detector
 - in this case, the distortion comes from a *smearing* of the PSF in both the read-out, and across-scan direction
- A first approach to correcting instrumental effects is to represent them by power series of the image location on the focal plane; distortions of the PSF can be corrected by using different PSF templates as function of location in the optical system → need optimal distribution of reference stars





Observation Equation

- **First step** is to correct the *reference stars*'s coordinates (the *astrometric parameters* α and δ) and their associated *uncertainties* from the reference catalog values to those at the *epoch* and *location* of the observation
 - this includes *proper motion* and *parallax* effects
 - the know *refraction* and *aberration* effects should also be evaluated and pre-corrected
 - the observed positions of the i-th reference star can be expressed as

 $\alpha_i = \alpha_{i0} + \mu_{\alpha i} t_j + \varpi_i P_j + L_{ij}$ $\delta_i = \delta_{i0} + \mu_{\delta i} t_j + \varpi_i Q_j + M_{ij}$

where $t_j=T_j-T_0$, and L_{ij} , M_{ij} are non-linear displacements of the star position from the reference epoch T_0 to the observation epoch T_i

• Second step involves the geometric transformation which maps the sky coordinates to tangent plane coordinates,

i.e., the plane tangent to the unit sphere in the direction of the optical axis.

- *gnomonic projection* (astrograph-like telescope optics, most common)
- *equidistant projection* (Schmidt telescopes)
- **Third step**, commonly called *plate model*, consists in determining the functional relation that links the measured quantities (*x*,*y*) identifying the object's *location* onto the detector to some *field angles* directly related to the sky coordinates of that object at the epoch of observation.

First step: (1) Propagation of the astrometric parameters to the observation epoch

• We introduce the normal triad at the star direction relative to the equatorial system (**p**, **q**, **r**)



$$\vec{p} = \begin{pmatrix} -\sin\alpha\\\cos\alpha\\0 \end{pmatrix} \quad \vec{q} = \begin{pmatrix} -\cos\alpha\cos\delta\\-\sin\alpha\cos\delta\\\cos\delta \end{pmatrix} \quad \vec{r} = \begin{pmatrix} \cos\alpha\cos\delta\\\sin\alpha\cos\delta\\\sin\delta \end{pmatrix}$$

• Let the astrometric parameters of the star at the reference epoch T_0 be $(\alpha_0, \delta_0, \mu_{\alpha 0}, \mu_{\delta 0}, \omega_0)$ and V_{r0} its radial velocity; we can calculate the star's vectorial proper motion as

$$\vec{\mu}_0 = \vec{p}_0 \mu_{\alpha*0} + \vec{q}_0 \mu_{\delta 0}$$

where $\mu_{\alpha^*} = \mu_{\alpha} \cos \delta$. Writing the star's space velocity as

$$\vec{v} = \vec{\mu}_0 \frac{A}{\varpi_0} + \vec{r}_0 V_{r0}$$

• With the previous notations, the direction to the star at a time $t=(T_0-T_j)$ is given by

$$\vec{r}(t) = \langle \vec{r}_0 + (\vec{p}_0 \mu_{\alpha*0} + \vec{q}_0 \mu_{\delta 0} + \vec{r}_0 \zeta_0) t \rangle = \langle \vec{r}_0 (1 + \zeta_0) + \vec{\mu}_0 t \rangle$$

where $\zeta_0 = V_{r0} \varpi_0 / A$ is called *radial proper motion*, being the equivalent of the tangential proper motion but in the radial direction. To have ζ_0 in mas/yr, V_{r0} is given in Km/s, ϖ_0 in mas, and the Astronomical Unit A is expressed in Km yr s⁻¹

- The quantities $\mu_{\alpha*0}$, $\mu_{\delta 0}$, ζ_0 in the previous expression are the component of the space velocity scaled by the inverse distance at epoch T₀ along the vectors of the normal triad at **r**₀
- The normalization factor can be computed as

 $f = |\vec{r}_0| |\vec{r}(t)|^{-1} = [1 + 2\zeta_0 t + (\mu_0^2 + \zeta_0^2) t^2]^{-1/2}$

where $\mu_0^2 \equiv \mu_{\alpha*0}^2 + \mu_{\delta 0}^2$, from which we obtain the **epoch propagation equation**

 $\vec{r}(t) = [\vec{r}_0(1 + \zeta_0 t) + \vec{\mu}_0 t]f$

• Finally, the spherical coordinates $\alpha(t), \delta(t)$ can be easily computed from the components of $\mathbf{r}(t)$

• The **rigorous propagation of positional uncertainties** is obtained by computing the 2x2 *covariance* matrix

$$C_{\alpha\delta} = J C_{\alpha_0 \delta_0} J^T$$

 $C_{\alpha 0 \delta 0}$ is the 2x2 covariance matrix of the star's catalog coordinates, and J is the 2x2 **Jacobian** matrix of partial derivatives:

$$J = \begin{pmatrix} \frac{\partial \alpha_*}{\partial \alpha_{*0}} & \frac{\partial \alpha_*}{\partial \delta_0} \\ \frac{\partial \delta}{\partial \alpha_{*0}} & \frac{\partial \delta}{\partial \delta_0} \end{pmatrix} = \begin{pmatrix} p^T p_0 (1 + \zeta_0) f - p^T r_0 \mu_{\alpha*0} t f & p^T q (1 + \zeta_0) f - p^T r_0 \mu_{\delta 0} t f \\ q^T p_0 (1 + \zeta_0) f - q^T r_0 \mu_{\alpha*0} t f & q^T q_0 (1 + \zeta_0) f - q^T r_0 \mu_{\delta 0} t f \end{pmatrix}$$

where $t=(T_j-T_0)$ and the suffix 'T' denotes vector transposition.

• A less rigorous, but most **useful formula** can be obtained from the **simplified model** for the propagation of position, which does not take into account variations in proper motion or parallax with time:

$$\alpha = \alpha_0 + \mu_{\alpha*0} t \sec \delta$$
$$\delta = \delta_0 + \mu_{\delta 0} t$$

which gives for the final α and δ variances

$$\sigma_{\alpha}^{2} = \sigma_{\alpha*0}^{2} + \sigma_{\mu_{\alpha*0}}^{2}t^{2} + 2tC_{\alpha_{*0}\mu_{\alpha*0}}$$

$$\sigma_{\delta}^{2} = \sigma_{\delta 0}^{2} + \sigma_{\mu_{\delta 0}}^{2}t^{2} + 2tC_{\delta_{0}\mu_{\delta 0}}$$

 Let A(α₀,δ₀) define the direction of the optical axis, and ξ,η be rectangular Cartesian coordinates measured from the intersection of the optical axis and the tangent plane A', toward East and toward North respectively ; the gnomonic projection mapping the equatorial coorindastes (α,δ) into the so called standard coordinates (ξ,η) reads

$$\xi = \frac{\cos \delta \sin(\alpha - \alpha_0)}{\sin \delta_0 \sin \delta + \cos \delta_0 \cos \delta \cos(\alpha - \alpha_0)}$$
$$\eta = \frac{\cos \delta_0 \sin \delta - \sin \delta_0 \cos \delta \cos(\alpha - \alpha_0)}{\sin \delta_0 \sin \delta + \cos \delta_0 \cos \delta \cos(\alpha - \alpha_0)}$$

where (ξ, η) are in radians.

- The measured coordinates (x,y), if the detector system is perfectly aligned with the standard plane, are x=fξ, y=fη with f equal to the telescope focal length
- It can be shown that the *tangent point error*, due to an imperfect knowledge of the telescope pointing, introduces an error on the calculated standard coordinates given by

$$d\xi = a + c\eta + \xi(a\xi + b\eta)$$

$$d\eta = b - c\xi + \eta(a\xi + b\eta)$$

- On the other hand, a *misalignment* of the detector with respect to the ideal focal plane, introduces an error in the measured (x,y) of the kind x(ax+by), y(ax+by)
- The second-order terms of both the above effects are known as *tilt terms*



Third step: Plate Model

• A realistic *plate model* can take the following polynomial form, with $r^2 = (x^2 + y^2)$

$$\xi = ax + by + c + ex + fy + gx^{2} + hxy + qxr^{2}$$

$$\eta = ay - bx + c' - ey + fx + hy^{2} + gxy + qyr^{2}$$

- The quantities *a*, *b*, *c*, *c*',*e*,*f*,*g*,*g*',*h*,*h*',*q*,*q*' are referred to as **plate constants**:
 - *a,b,c,c'* represent an **orthogonal transformation** (rotation+translation)
 - *e,f* represent the **affine** part of the transformation (scale change in x, y + non-orthogonality of axes)
 - g,h correct for tilt terms
 - *q is* the third-order optical distortion term
- These observation equations relate the calculated standard coordinates of the reference stars to their measured coordinates via the plates constants which can therefore be estimated, provided that a sufficient number of reference stars is available on the FOV
 - *residual differential astrometric effects* due aberration and refraction should be evaluated and, if appropriate, incorporated as **second-order** or **third-order** polynomial terms in the above equations
- Once the values of the plate constants have been determined, one can derive the standard coordinates of any anonymous/target star in the FOV
- By then applying the **inverse** of the transformation $(\xi,\eta) \rightarrow (\alpha,\delta)$ one can obtain the **sky coordinates** of each target star in the *frame* defined by the *reference stars* being used

Principles of Statistical Parameter Estimation

- The observation equation for each star takes the form $\vec{O} + \vec{\varepsilon} = F(\vec{p})$, where **O** is the vector of (pseudo-)observations, with associated random error vector $\boldsymbol{\varepsilon}$; F is in general a non-linear function of the vector of unknown parameters **p**.
- If an approximate value for the vector **p** is known, the observation equation can be *linearized* as

$$\vec{O} + \vec{\varepsilon} = F(\vec{p_0}) + \sum_{i=1}^{n} \frac{dF}{dp_i} \Delta p_i$$

where we have assumed that the **second order** terms of the Taylor expansion are **negligible** with respect to the measurement errors and therefore no bias is introduced in the estimation process

• Bringing all the constant terms to the left side of the equation, one can rewrite it in the more usual matrix form

$$\vec{y} + \vec{\varepsilon} = A\vec{x}$$

A is the (mxn) *matrix of coefficients*, where *m* represents the number of observation equations and *n* the number of *parameters* to be estimated; the solution vector **x** represents the **adjustment** to its approximate known value.

An optimal estimation of x is obtained by the method of *least-squares*, which corresponds to minimizing the quadratic form

$$Q = (\mathbf{A}\vec{x} - \vec{y})^T (\mathbf{A}\vec{x} - \vec{y}) \equiv \vec{\varepsilon}^T \vec{\varepsilon}$$

with respect to **x**, i.e., minimizing the **squared sum of the measurement errors**.

If the errors are *heteroshedastic*, possibly *correlated*, *random variables*, the system of equations must be properly weighted → weighted least-squares theory

Differential Astrometric Field Treatment: Summary Diagram



Example: Relativistic Light Deflection from Jupiter in Gaia's measurements

- On-going experiment: detect the Jupiter quadrupole light deflection via Gaia's focal plane data
 → test of GR predictions
- Principles of differential astrometry can be used to set up an accurate local reference frame at the micro-arcsecond level
 - Gaia observes in *TDI mode*, so the fundamental observation is the *observing time* t_{obs}, i.e., the time at which the stellar centroid crosses the *fiducial line* of the CCD
 - t_{obs} can be converted into *field angles* ($\eta(t_{ref}), \zeta(t_{ref})$) at the chosen *reference time* if the satellite *scanning law* is known, i.e., one is able to compute $\dot{\eta}, \dot{\zeta}$
 - Each time the satellite FOV scans the same sky area (about 1°), one can collect the *field angle coordinates* of the *reference stars* and put them on the *tangent plane*, pre-correcting for known astrometric effects
 - Once each *local reference frame* has been set up, the *standard coordinates* of each frame are linked together by means of a *polynomial model* (*plate solution*)
 - The light deflection effect (monopole+quadrupole) from Jupiter on the *target star* is treated as *residual astrometric signal*





- Along (AL) and across-scan (AC) residuals after least-squares adjustment of 15 overlapping Gaia transits over a realistically simulated stellar field around Jupiter.
 - a-priori corrections for proper motion and parallactic effects have been applied
 - no relativistic aberration nor gravitational deflection are included in the simulation
 - observations are error-free
 - satellite attitude errors are of 10 muas/sec around each axis